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Quiz 2 today

Quiz 3 2/22 in two weeks

Reading.

Sections 2.1 - 2.4

Appendix B.2

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## Slides and videos:

simplest sustained nonequilibrium system

Rayleigh-Benard convection

show some static states: stripes, hexagon  
dynamical states: spirals, spatiotemporal chaos  
pattern formation

no broad powerful formalism yet known to  
understand, predict, control patterns

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## Last lecture:

existence of entropy  $S(u, v, N)$  that is additive over subsystems,  $dS/dt > 0$  for isolated non-equilibrium system, explains why  $T$  defined by  $\frac{1}{T} = \left(\frac{\partial S}{\partial u}\right)_{v, N}$  is equal for all subsystems when isolated system in equilibrium  $T_1 > T_2 \Rightarrow$  energy flow from subsystem 1  $\rightarrow$  subsystem 2

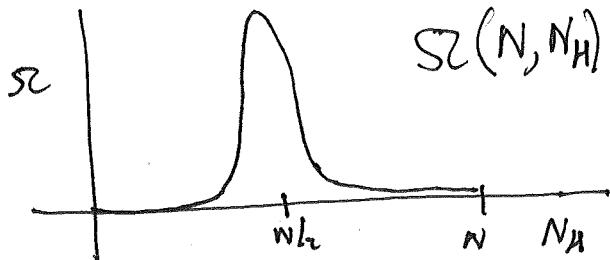
Will discover and understand  $S$  by first studying a related concept: multiplicity  $S_c(u, v, N)$  which counts how many microstates are "accessible" or "compatible" or "consistent" with given macroscopic state defined by given values of  $u, v, N$

Looked at  $N$  coins

$$\# \text{ macrostates} = N+1 \quad \text{state} = \# \text{ heads } N_H$$

$$\# \text{ microstates} = 2^N$$

$$S_c(N, N_H) = \binom{N}{N_H} = \frac{N!}{N_H!(N-N_H)!}$$



$$\text{prob} = \frac{\binom{N}{N_H}}{2^N}$$

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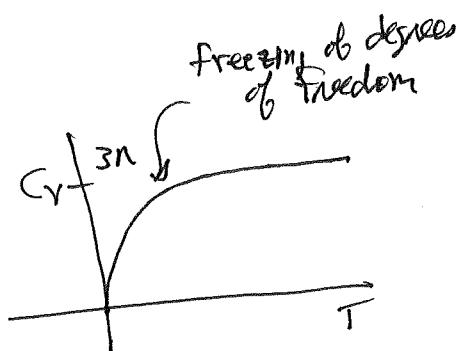
Calculate SC for three different systems

- (1) Einstein "solid":  $N$  identical quantum harmonic oscillators, e.g. diatomic molecules and vibration modes
- (2) ideal gas of atoms
- (3) spin-1/2 paramagnet: no equipartition theorem relevant  
no motion, so what is  $T$ ?

Will give us valuable sense of SC and hence entropy

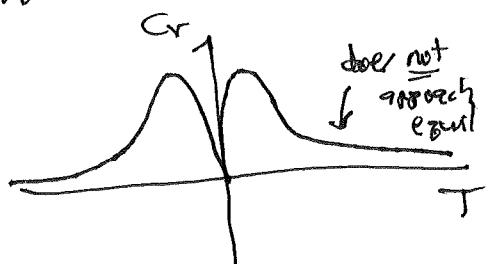
in several cases

$$C_V = 3Nk \frac{\left(\frac{e}{kT}\right)^2 e^{\epsilon/kT}}{\left(e^{\epsilon/kT} - 1\right)^2}$$



$$C_V = \frac{3Nk}{2} \quad \text{atomic gas, no internal structure}$$

$$C_V = Nk \frac{\left(\frac{\mu_B}{kT}\right)^2}{\cosh^2\left(\frac{\mu_B}{kT}\right)}$$



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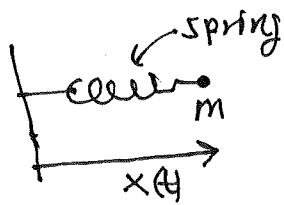
## Einstein solid

What is  $S_C$ ? What is  $S$ ? What is  $\frac{1}{T} = \frac{\partial S}{\partial U}$

What is  $C_V$ ?

Begin with some observations of classical harmonic oscillator

$$m \cdot a = \vec{F}$$



$$m \cdot \frac{d^2x}{dt^2} = -k(x - x_0)$$

$$x(t) - x_0 = A \cos(\omega t) + B \sin(\omega t) \quad \text{periodic behavior}$$

$$\omega = \sqrt{k/m} \quad \text{angular frequency}$$

$$\text{Energy} = \frac{1}{2}mv^2 + \frac{1}{2}k(x - x_0)^2$$

$$\text{lowest value: } v=0, x=x_0 \Rightarrow E=0$$

$$E \text{ can take on any values } E > 0$$

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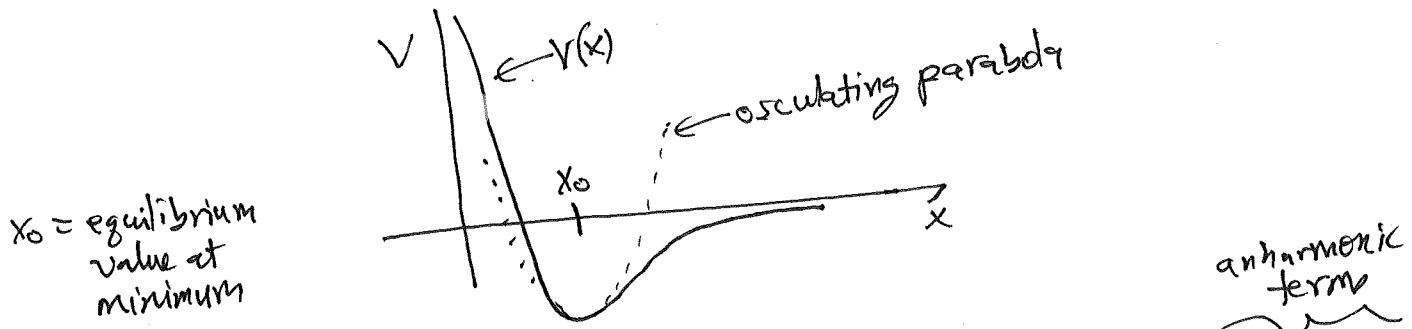
## Where Does Spring Constant Come From?

Intro physics: forces come from potential energies

$$F = -\frac{dV}{dx} \quad V = \text{pot energy} = V(x)$$

$$\vec{F} = -\nabla V \quad V = V(x, y, z)$$

Consider 1d case, say potential for atoms in diatomic molecule



Taylor expand  $V(x)$  around  $x=x_0$

$$V(x) = V(x_0) + \underbrace{V'(x_0)(x-x_0)}_{=0 \text{ at minimum}} + \frac{1}{2!} V''(x_0)(x-x_0)^2 + \frac{1}{3!} V'''(x_0)(x-x_0)^3 + \frac{1}{4!} \dots$$

$$\approx V_0 + \underbrace{\frac{1}{2} V''(x_0)(x-x_0)^2}_{\text{spring-like term}} + \dots \quad x \text{ close to } x_0$$

Conclude: spring constant  $k \approx V''(x_0)$

If  $(x-x_0)$  becomes large, get anharmonic behavior, need to keep higher-order terms  $m\ddot{x} = -kx - k_2 x^3$  Duffing eq

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## Quantum Mechanic Harmonic Oscillator

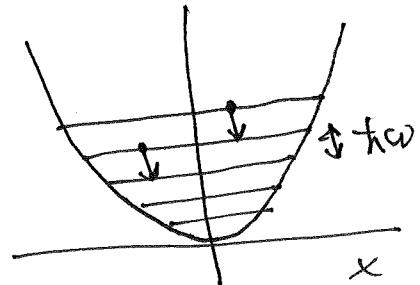
given mass  $m$ , frequency  $= \sqrt{\frac{k}{m}} = \sqrt{\frac{V''(x_0)}{m}} = \omega$

$$H = \frac{P^2}{2m} + \frac{1}{2} m\omega^2 x^2$$

Quantum mechanics predicts that energy levels of particle  
are discrete and equally spaced:

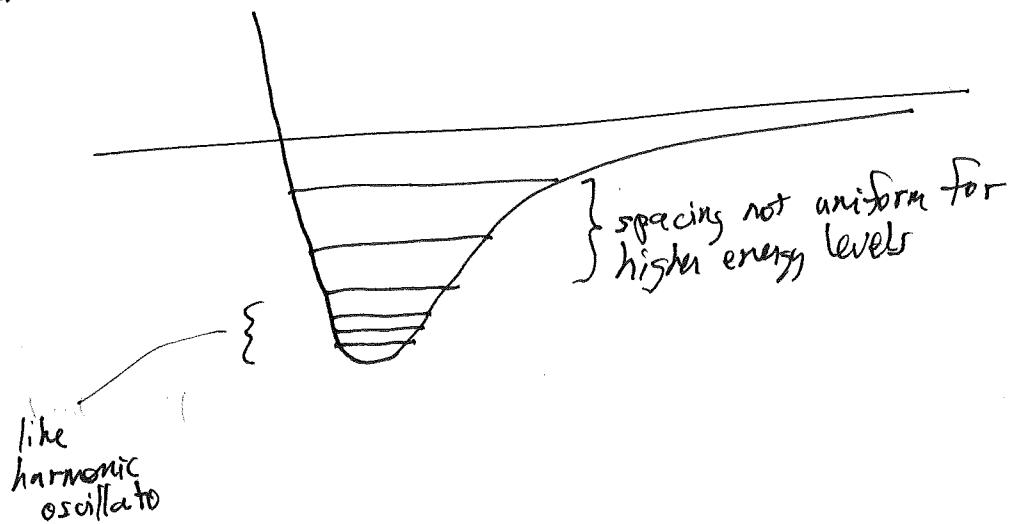
$$E = \frac{\hbar\omega}{2} + (\hbar\omega)n$$

$$n=0, 1, 2, \dots$$



Can deduce freq  $\omega$  from spectroscopy:  
emitted photon has energy  $E$   
 $\Delta E = \hbar\omega = hf$

Perfect harmonic oscillators don't exist, molecules  
become anharmonic at higher energies



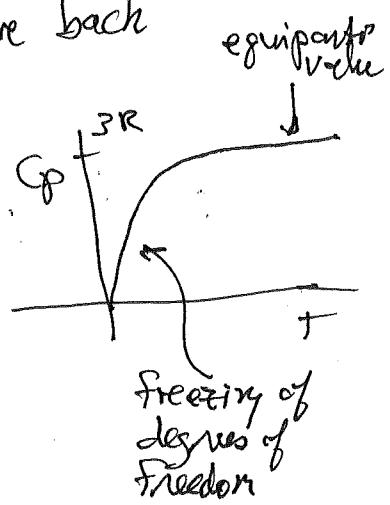
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## Einstein Solid: N Identical

### Quantum Harmonic Oscillator with Frequency $f$

Far away from melting point, solid of  $N/3$  atoms consists of atoms oscillating about equilibrium point. Einstein in ~1907 approximated vibrations of solid as arising from  $N$  independent identical quantum oscillators. Real solid has continuous range of frequencies, will come back and study Debye theory later.

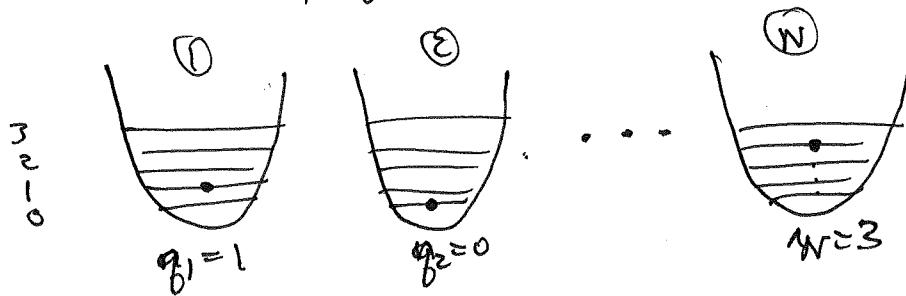
Einstein able to explain experimental data:  
especially that  $C_p(T) \rightarrow 0 \text{ as } T \rightarrow 0$   
first successful application of QM to solids



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# Multiplicity $S\zeta(N, g)$ of Einstein Solid With $N$ Oscillators

Total Energy  $g$



microstate given by integers  $g_1, g_2, \dots, g_N$  giving amount of energy in system  $g_1 > 0, \dots, g_N > 0$

$$\begin{aligned} \text{macrostate: } E_{\text{total}} &= E_1 + E_2 + \dots + E_N \\ &= \left( \frac{\hbar\omega}{2} + \hbar\omega g_1 \right) + \left( \frac{\hbar\omega}{2} + \hbar\omega g_2 \right) + \dots \end{aligned}$$

$$E_{\text{total}} = N \underbrace{\frac{\hbar\omega}{2}}_{\substack{\downarrow \\ \text{so-called ground-state energy}}} + \hbar\omega(g_1 + g_2 + \dots + g_N)$$

The so-called ground-state energy  $N \frac{\hbar\omega}{2}$  not involved with thermal energy  $U$  of system so ignore

For given ~~macroscopic~~ macroscopic state with energy  $g > 0$   
(this is abbreviation for  $E = \hbar\omega g$ ), how many microstates?  $S\zeta(N, g) = ?$

claim:

$$S\zeta(N, g) = \binom{N+g-1}{g}$$

Multiplicity For  $N=3$  Oscillator  
In Einstein Solid

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$\frac{g}{E}$

$$E_{\text{total}} = \hbar \omega g$$

$g_1$      $g_2$      $g_3$      $SZ$

0		0	0	0	1
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1

1·1	0	1	0
11·0	0	0	1
·11	1	0	0

2

·1·1	1	1	0
·11·0	1	0	1
1·01·0	0	1	1
1·001	0	2	0
11·0·0	0	0	2
·0·11	2	0	0

3

·1·01·0	1	1	1
·1·001	1	2	0
1·11·0·0	1	0	2
1·001·0	2	1	0
1·0·011·0	2	0	1

$$SZ(4) = ?$$

1·01·0·0	0	1	2
1·0·1·0	0	2	1
1·001·1	3	0	0
1·0001	0	3	0
11·0·0·0	0	0	3

$$\text{Derivation } S(N, g) = \binom{N+g-1}{g} \quad (10)$$

Clever trick to count microstates for  $N$  oscillators with total energy  $g$

Represent  $g$  by  $g$  dots, e.g.  $g=3$  

Represent oscillators by  $N-1$  vertical lines 

microstate then corresponds to arbitrary linear ordering of dots and bars, e.g.

$$N=3 \\ g=3$$

$$\begin{array}{c} \cdots | \cdot | \\ \overbrace{\qquad\qquad}^{q_1=2} \underbrace{\qquad}_{q_2=0} \overbrace{\qquad\qquad}^{q_3=0} \\ q_1=q_2=q_3= \end{array}$$

$$\begin{array}{c} \cdot || \cdot \\ \overbrace{\qquad\qquad}^{q_1=1} \underbrace{\qquad}_{q_2=0} \overbrace{\qquad\qquad}^{q_3=2} \\ q_1=q_2=q_3= \end{array}$$

But I have  ~~$N-1$~~   $N-1+g$  symbols so # microstates  
# of ways I can place  $g$  dots in  $N-1+g$  slots, with  
bars occupying other slots  $S(N, g) = \binom{N-1+g}{g}$

$$\text{check: } S(3,1) = \binom{2+1}{1} = \binom{3}{1} = 3$$

$$S(3,3) = \binom{5}{3} = \frac{5 \cdot 4}{2 \cdot 1 \cdot 2} = 10 \checkmark$$

$$S(8,3) = \binom{10}{8} = \binom{10}{2} = \frac{10 \cdot 9}{1 \cdot 2} = 45 \text{ fedora!}$$